Clinical Performance Guideline
Fertility Solutions
Infertility

Purpose: To provide an understanding of infertility treatment, issues surrounding infertility surgery, and issues surrounding multiple embryo transfers among individuals faced with the potential loss of fertility.

Goals: To provide an evidence-based approach to infertility management, infertility surgery, and the use of single embryo transfer in addition to describing the limitations of and recommendations for infertility treatment.

Background

I. Infertility

- Definition:
  - The inability to achieve a successful pregnancy following 1 year of unprotected intercourse or therapeutic donor insemination in cases where the female is <35 years of age; or following 6 months of unprotected intercourse or therapeutic donor insemination for females ≥35 years of age. (ASRM)
  - Recurrent pregnancy loss is a disease distinct from infertility, defined by two or more failed pregnancies. When the cause is unknown, each pregnancy loss merits careful review to determine whether specific evaluation may be appropriate. (ASRM)
  - For purposes of determining when evaluation and treatment for infertility or recurrent pregnancy loss are appropriate, pregnancy is defined as a clinical pregnancy documented by ultrasonography or histopathologic examination. (ASRM)

- The causes of infertility may be attributable to the female in 40% of cases, to the male in 40% of cases and to a combination of both male and female factors in 10% of cases.
- The cause of infertility cannot be determined in up to 10-20% of couples.
- Female factors can further be divided into tubal (40%), ovulatory (40%), uterine (10%) and cervical (10%).
- Cigarette smoking adversely affects fertility.
- Endometriosis is associated with infertility; however, the mechanism of impaired fertility in the presence of minimal disease has not been clearly elucidated.
- If a hysterosalpingogram (HSG) is performed for diagnostic evaluation of infertility, there is an increased chance of fertility (10% over the ensuing 6 months) as thin, filmy adhesions may be lysed by the dye injected into the tubes, which will allow them to become patent.
- Luteal phase deficiency has never been established as a cause of infertility.
- It has never been demonstrated that antibodies against sperm in either the male or female partner is a cause of infertility.
• It has never been demonstrated that asymptomatic infection of the male or female genital tract can cause infertility.
• The spontaneous conception rate for the “normal” couple is 25% per ovulatory cycle.
• Fecundity declines gradually after age 32 and more precipitously after age 37. National data from the SART registry 2016 demonstrates that the cumulative live birth per intended retrieval resulting in live births decreased progressively from:
  o 47.6% in females younger than 35 years;
  o 34.8% for females aged 35-37 years;
  o 21.8% for females aged 38-40 years;
  o 11.2% for females aged 41-42; and
  o 3.3% for females over the age of 42. The age-related decline in fertility is accompanied by a significant increase in the rates of aneuploidy and spontaneous abortion. (SART, 2016)
• The post-coital test has never been demonstrated to correlate with pregnancy outcome and should only be used in cases where the outcome will significantly affect treatment strategy. The test may be considered useful in cases of suspected sexual dysfunction.

II. Intrauterine Insemination

Intrauterine insemination (IUI) involves the placement of washed, motile sperm directly into the uterine cavity.

• Indications for IUI:
  o Sexual dysfunction
  o Sequelae of cervical trauma
  o Mild male factor infertility
  o Unexplained infertility
  o Minimal or mild endometriosis
  o Unilateral tubal factor infertility absent any compromise of the patent fallopian tube

• Historically, controlled ovarian stimulation (COS) with clomiphene citrate or gonadotropins combined with intrauterine insemination (IUI) has provided less invasive options before proceeding to IVF.
• A traditional approach involved 3 cycles of clomiphene/IUI followed by 3 cycles of gonadotropin/IUI before pursuing IVF.
• Gonadotropin/IUI is associated with an increased risk for multiple gestation (30%) including high-order multiple births (8.1%). (Gleicher, 2000)
• The pregnancy rate per cycle for gonadotropin/IUI is 9%. (Guzick, 1998,1999)
• The pregnancy rate per cycle for clomiphene/IUI is 7%.
• Conception, when it occurs, is achieved within 4 clomiphene or gonadotropin/IUI cycles in 90% of cases. (Chaffkin, 1991)
• The cumulative pregnancy rate for gonadotropin/IUI treatment is 33%.
• The cumulative pregnancy rate for clomiphene/IUI treatment for women <35 is 25%. (Dovey, 2008; Ecohard, 2000)
IUI with controlled ovarian stimulation may be effective in increasing live birth rate in women with minimal or mild endometriosis. (Nulsen, 1993; Tummon, 1997)

Skipping gonadotropin/IUI in the traditional approach and moving instead directly to IVF yields a significant increase in pregnancy rate and time to conception while decreasing overall costs. (Goldman, 2010; Reindollar, 2010)

Gonadotropin/IUI should not be used for treatment given the increased cost of medication, risk for a multiple gestation and a cumulative pregnancy rate that is only slightly higher compared to clomiphene/IUI. (Goldman, 2010)

III. Poor Prognosis and Futility
Examples where continued treatment may be futile: (ASRM, 2006)

- Markedly elevated FSH levels
  - ≥19 for women < 40
  - >15 for women ≥ 40
    - FSH levels should be evaluated in the context of other markers of ovarian reserve, such as AMH, AFC and response to prior ovarian stimulation
    - In the absence of a history of prior ovarian stimulation, a cycle of ART may be considered, especially in women age <35.

- Lack of viable spermatozoa
- Ovarian failure where a couple is attempting conception with their own gametes
- Numerous ART cycles without adequate egg production, fertilization and/or embryo development

IV. Treatment in the Natural Cycle

- Natural cycle treatment assumes:
  - Normal ovulatory function with spontaneous (unstimulated) ovulation
  - At least one patent fallopian tube
  - Normal uterine cavity

- Treatment options in the natural cycle encompass:
  - Timed coitus
  - Cervical insemination
  - Intrauterine insemination (IUI)
  - Assisted reproductive technologies (ART)

- Cervical insemination in the natural cycle may be beneficial in cases involving sexual dysfunction
- Intrauterine insemination may be useful in cases involving cervical trauma (e.g., cervical ablation, following a wide cervical cone biopsy)
- There is no evidence that, absent sexual dysfunction or cervical trauma, natural cycle (i.e., no ovarian stimulation) IUI has any benefit over appropriately timed heterosexual intercourse. (Helmerhorst, 2005)
- Natural cycle IUI may be considered in the setting of donor insemination when
no other infertility factor is present.

V. Tubal Surgery

- Tubal disease accounts for 25%–35% of female factor infertility, with more than half of the cases due to salpingitis. (Honore, 1999)
- A history of ectopic pregnancy, pelvic inflammatory disease (PID), endometriosis, or prior pelvic surgery raises the index of suspicion for tubal factor infertility.
- For patients with no risk factors, a negative chlamydia antibody test indicates that there is less than a 15% likelihood of tubal pathology. (denHartog 2006)
- Although a laparoscopy is considered the best method to determine tubal patency, 3% of women diagnosed with bilateral tubal occlusion conceived spontaneously. (Mol 1999)
- Proximal tubal blockage accounts for 10%-25% of tubal disease. (Honore 1999)
- A hysterosalpingogram (HSG) may have a therapeutic effect, with higher fecundity rates reported for several months after the procedure when patency of at least one fallopian tube is demonstrated. (Johnson 2009)
- Distal tubal disease involves hydrosalpinges, tubal phimosis, fimbrial and peritubal adhesions.
- Tuboplasty is not appropriate for severe tubal disease or with both proximal and distal tubal disease.
- There are no adequate trials comparing pregnancy rates with tubal surgery vs. ART.
- The advantages of tubal surgery are that it is mostly a one-time intervention and that patients may attempt conception monthly without further intervention.
- The disadvantages of tubal surgery are that it involves an invasive procedure with concomitant associated risks of bleeding, infection, organ damage, and risk of anesthesia. In addition, patients may need to wait at least 6 months up to 2 years to see the maximum beneficial outcome from surgery in terms of cumulative pregnancy rates. Finally, there is a risk of recurrence of tubal pathology (e.g. adhesion formation, occlusion of the fallopian tube(s) as well as a higher risk for an ectopic pregnancy).
- Time to pregnancy is an important consideration when contemplating tubal surgery. Corrective tubal surgery even for the most favorable prognoses may not be appropriate for women ≥35 years. (Feinberg 2008)

VI. Endometriosis

- The evidence for performing surgery with the sole intent of increasing live birth rate indicates that a relatively large number of women need to be treated to gain an additional pregnancy in women with minimal or mild endometriosis. (Jacobson 2010)
- Operative laparoscopy, including adhesiolysis is effective in increasing the
pregnancy/live birth rate compared to diagnostic laparoscopy. (Jacobson 2010)

- While the removal of endometriosis in women with minimal or mild endometriosis in women undergoing a laparoscopy for other indications may improve pregnancy, implantation and live birth rates compared to those undergoing a diagnostic laparoscopy alone, there is no conclusive evidence to support laparoscopy for asymptomatic women with the only aim to diagnose and subsequently treat peritoneal endometriosis in order to improve the result of the ART treatment. (ESHRE 2013, Falcone 2011, Opøien 2011)
- The comparative effectiveness of various surgical techniques is not well studied.
- Endometriosis does not adversely affect pregnancy rates with ART.
- Pregnancy rates for patients with minimal or mild endometriosis are not different from patients with tubal factor infertility in ART cycles.

VII. Uterine Factor

- The septate uterus is the most common congenital anomaly of the uterus and is associated with the highest incidence of reproductive failure. (Raga 1997)
- The avascular nature of the uterine septum may represent a less than optimal environment for implantation.
- A unicornuate uterus represents only 4.4% of uterine anomalies.
- A bicornuate uterus, while associated with a higher incidence of pregnancy loss, rarely requires surgery. (Taylor 2008)
- The uterus didelphys has a good prognosis for conception and rarely requires surgery. (Taylor 2008)
- Little is known about the association of endometrial polyps and fertility.
- Intrauterine adhesions are associated with poor reproductive outcome. (Schenker 1982)
  - Surgery improves fertility and reduces pregnancy loss.
- Uterine myomas are common and mostly asymptomatic.
  - Large fibroids may impede access to the ovary during ART.
  - Fibroids that distort the uterine cavity may reduce ART pregnancy rates.
  - It is unclear whether or not large fibroids that do not distort the uterine cavity may reduce ART pregnancy rates in some patients.

VIII. Elective Single Embryo Transfer (eSET)

Assisted reproductive technology (ART) poses a major risk of multiple pregnancy and birth that is associated with adverse maternal and infant outcomes.

The principal reason behind the large number of multiple pregnancies after in-vitro fertilization (IVF) is the practice of transferring more than one embryo within
the uterus in order to maximize pregnancy rates. (ASRM 2012, Criniti 2005, Pandian 2009)

Twin pregnancies and higher order gestations are associated with an increased risk of:
- Preeclampsia
- Hypertension
- Preterm labor
- Premature rupture of membranes
- Low birth weight (<2,500 g)
- Operative delivery
- Fetal death and/or
- Cerebral palsy. (Mullin 2010)

Even though eSET requires subsequent frozen embryo transfer cycle(s) if the initial fresh cycle is unsuccessful, it is prudent to promote elective single blastocyst embryo transfer as a means of reducing the frequency of multiple gestations and the associated risks of poor maternal and birth outcomes. (Johnson 2013; Sunderam 2012).
- Numerous countries have adopted regulations that mandate eSET resulting in a twin gestation rate of <5%.
- Pregnancy rates for eSET are comparable to multiple embryo transfer. (Thurin 2004)
- Although pregnancy outcome diminishes with increasing maternal age, all age groups should be considered for blastocyst stage eSET (Niinimaki 2012, Kato 2012) particularly in the context of preimplantation genetic testing or other technologies that enhance the embryo selection process.

<table>
<thead>
<tr>
<th>General Indications</th>
<th>General Indications for Initial and Continuation of Infertility Treatment Coverage</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>The below general infertility criteria are to be met for consideration of treatment:</strong></td>
<td><strong>Prognosis for conception must be ≥ 5%; AND</strong></td>
</tr>
<tr>
<td>- Prognosis for conception must be ≥ 5%; AND</td>
<td>- No evidence of significant diminished ovarian reserve. Markers of significant diminished ovarian reserve include but are not limited to (one or more of the following within the previous 6 months):</td>
</tr>
<tr>
<td>- No evidence of significant diminished ovarian reserve. Markers of significant diminished ovarian reserve include but are not limited to (one or more of the following within the previous 6 months):</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>- If there has been monitored, medicated-stimulated infertility treatment within the previous 6 months it must demonstrate adequate ovarian response to stimulation. Examples include but are not limited to:</td>
<td>- If there has been monitored, medicated-stimulated infertility treatment within the previous 6 months it must demonstrate adequate ovarian response to stimulation. Examples include but are not limited to:</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
The general infertility surgery criteria as listed below are to be met for consideration of treatment:

- Pelvic pain that is not responsive to conservative management; **OR**
- Presence of a pelvic mass for which gynecologic diagnosis warrants surgical intervention; **OR**
- As an alternative treatment modality to the Assisted Reproductive Technologies (ART) particularly for individuals who are averse to pursuing ART for religious, social or financial concerns.

In the absence of other infertility factors or recurrence of disease additional infertility treatment is not indicated following infertility surgery for 12 months for individuals <35 and 6 months for individuals ≥ 35 years of age.

Infertility treatment is warranted when an infertility factor has been identified. This would include but is not limited to:

- Two abnormal semen analyses (abnormal count and/or motility), ovulatory dysfunction; compromise of the fallopian tubes; documented untreated or recurrent endometriosis; sexual dysfunction; abnormalities of the cervix or uterus that may interfere with conception.

Treatment is not indicated in the setting of using autologous oocytes in females’ ≥44 years of age. (UnitedHealthcare Infertility Services Coverage Determination Guidelines January 1, 2018)

### Treatment Criteria

#### I. Ovulation Induction

Ovulation induction is not indicated beyond the 6th ovulatory cycle regardless of which drug or combinations of drugs have been administered.

**A. Clomiphene citrate (Clomid®, Serophene®)**

1. Clomiphene citrate **is indicated** to treat females with ovulatory dysfunction in the following situations:
   - Anovulation; **OR**
   - Oligo-ovulation; **OR**
   - Amenorrhea; **AND**
   - Other specific causative factors (e.g., thyroid disease, hyperprolactinemia) have been excluded or treated

2. Clomiphene citrate **is not indicated** in the following situations:
   - Beyond the 6th clomiphene citrate induced ovulatory cycle; **OR**
   - When there is a failure to respond to ovarian stimulation after appropriate dosage adjustment, (e.g., doses of clomiphene citrate up to 250 mg per day and no follicles ≥17 mm in diameter); **OR**
   - An estradiol level <100 pg/ml/follicle ≥15 mm in diameter

**B. Letrozole (Femara®)**

1. Letrozole **is indicated** to treat females with ovulatory dysfunction in the following situations:
   - Anovulation; **OR**
• Oligo-ovulation; OR
• Amenorrhea; AND
• Other specific causative factors (e.g., thyroid disease, hyperprolactinemia) have been excluded or treated.

2. Letrozole is not indicated in the following situations:
• Beyond the 6th letrozole induced ovulatory cycle; OR
• When used alone for females with unexplained infertility; OR
• When there is a failure to respond to ovarian stimulation, (e.g., no follicles ≥17 mm in diameter).

C. Gonadotropins
1. Gonadotropins are indicated to treat females with ovulatory dysfunction in the following situations:
• Anovulation; OR
• Oligo-ovulation; OR
• Amenorrhea; AND
• Other specific causative factors (e.g., thyroid disease, hyperprolactinemia) have been excluded or treated; AND
• Failure to ovulate with clomiphene citrate and letrozole.
  o PCOS, anovulatory or oligo-ovulatory patients who fail to ovulate with clomiphene after dosage adjustment up to 150 mg per day should attempt ovulation induction with letrozole before proceeding to gonadotropins.
  o Patients diagnosed with hypothalamic amenorrhea (failure to withdraw to progesterone) who demonstrate hypoestrogenemia may move directly to gonadotropins.

2. Gonadotropins are not indicated in the following situations:
• Beyond the 6th gonadotropin induced ovulatory cycle; OR
• When there are ≥ 4 follicles which are ≥15 mm in diameter from a previously gonadotropin-induced ovulation, despite a dosage adjustment (e.g., doses of gonadotropin down to 37.5 IU per day); OR
• When used alone for females with unexplained infertility; OR
• When there is a failure to respond to ovarian stimulation, (e.g., doses of gonadotropins up to 225 IU per day and no follicles ≥ 15 mm in diameter); OR
• In lieu of clomiphene or letrozole to correct a thin endometrial lining (Dietterich, 2004; Kolibianakis, 2002; Assante, 2013); OR
• An estradiol level <100 pg/ml/follicle ≥15 mm in diameter.

3. Gonadotropins are not indicated:
• In total doses that exceed 225 IU/day for ovulation induction; OR
• For duration of therapy that exceeds 14 days per cycle.
II. Controlled Ovarian Stimulation

Controlled ovarian stimulation is not indicated beyond the cycle limitations listed below regardless of which drug or combinations of drugs have been administered.

A. Clomiphene citrate and letrozole

1. Clomiphene citrate and letrozole are indicated to treat females only when used in conjunction with intrauterine insemination (IUI) in the following situations:
   - With unexplained infertility; OR
   - Minimal or mild endometriosis; OR
   - Diminished ovarian reserve; OR
   - Male factor infertility; OR
   - Unilateral tubal factor infertility.
     - Patency of one fallopian tube must be demonstrated and there should be no evidence of peritubal adhesions or anything that may compromise tubal function.

2. Clomiphene citrate and letrozole are not indicated in the following situations:
   - To treat females with unexplained infertility, diminished ovarian reserve, bilateral tubal factor infertility, endometriosis, male factor infertility or recurrent pregnancy loss (absent an ovulatory disorder) when used alone (without IUI) (ASRM); OR
   - Beyond 4 cycles for females <38 years of age (Chaffkin, 1991; Dovey, 2008; ASRM, 2013); OR
   - Beyond 2 cycles for females 38-39 years of age (ASRM, 2006, 2013; Hendricks, 2006; Harris, 2010; Wiser, 2012); OR
   - Beyond 1 cycle for females >40 years of age (ASRM, 2006; Hendricks, 2006; Harris, 2010; Sahakyan, 1999; Aboulghar, 2001); OR
   - In the setting of very poor/futile prognosis, defined as:
     - FSH level ≥15 mIU/ml if ≥40 years of age or FSH level ≥20 mIU/ml if <40 years of age (Fertility Solutions Expert Panel); OR
   - Following ART cycles that fail to result in conception due to poor ovarian response or poor quality oocytes or embryos.

B. Gonadotropins

1. Gonadotropins are indicated when used only in conjunction with intrauterine insemination in the following situations:
   - To treat females with diminished ovarian reserve that have not responded to clomiphene citrate or letrozole; OR
   - Initial treatment for women with diminished ovarian reserve; OR
   - Initial treatment for women ≥ 40 years of age; OR
   - In the setting of unilateral tubal disease when there is no evidence of tubal compromise on the patent side when at least 2 cycles of oral agents (clomiphene or letrozole) have failed to yield a
dominant follicle on the side with a patent fallopian tube.

2. Gonadotropins are not indicated when used alone or in conjunction with intrauterine insemination (IUI) in the following situations:
   - To treat females with unexplained infertility, endometriosis, bilateral tubal factor infertility, male factor infertility or recurrent pregnancy loss (McClamrock, 2012; ESHRE, 2013); OR
   - In lieu of clomiphene or letrozole to correct a thin endometrial lining. (Dietterich, 2004; Kolibianakis, 2002; Assante, 2013; Gingold, 2015), OR
   - When there is a failure to respond to ovarian stimulation, (e.g., doses of gonadotropins up to 150 IU per day and no follicles ≥ 15 mm in diameter); OR
   - An estradiol level <100 pg/ml/follicle ≥15 mm in diameter); OR
   - When there are ≥ 4 follicles which are ≥15 mm in diameter from a previously gonadotropin-induced ovulation, despite a dosage adjustment; OR
   - Beyond 4 cycles for females <38 years of age (Chaffkin, 1991; Dovey, 2008; ASRM, 2013); OR
   - Beyond 2 cycles for females 38-39 years of age (ASRM, 2006, 2013; Hendricks, 2006; Harris, 2010; Wiser, 2012); OR
   - Beyond 1 cycle for females >40 years of age (ASRM, 2006; Hendricks, 2006; Harris, 2010, Sahakyan, 1999; Aboulghar, 2001); OR
   - In the setting of very poor/futile prognosis, defined as:
     - FSH level ≥15 mlU/ml if ≥40 years of age or FSH level ≥20 mlU/ml if <40 years of age (Fertility Solutions Expert Panel); OR
   - Following ART cycles that fail to result in conception due to poor ovarian response or poor quality oocytes or embryos.

3. Gonadotropins are not indicated:
   - In total doses that exceed 150 IU/day for controlled ovulation stimulation; OR
   - For duration of therapy that exceeds 14 days per cycle.

*Note: Gonadotropins may be utilized in the face of ovulatory dysfunction, see above section ovulation induction.*

**III. Therapeutic Donor Insemination**

A. Therapeutic donor insemination is indicated in the following situations:
   1. Male factor infertility; OR
   2. Failure of fertilization with ART; OR
   3. Female without a male partner *(when this is a covered benefit)* upon meeting the definition of infertility

B. Therapeutic cervical or intrauterine donor insemination is not indicated in the following situations:
   1. Failure to conceive within 12 donor insemination cycles in a female <35
years old; OR
2. Failure to conceive within 6 donor insemination cycles in a female ≥35 years old;
   AND
   There are no other infertility factors.
   In the absence of any known infertility factor, therapeutic donor insemination is not indicated in conjunction with ovarian stimulation. (Cycle limitations apply for unexplained infertility, minimal to mild endometriosis and tubal factor infertility.)
3. Cervical donor insemination is not indicated when using frozen sperm.

IV. Intrauterine Insemination (IUI)
A. Intrauterine insemination (IUI) in a natural (unstimulated) cycle is indicated when no other confounding infertility factors exist in any one (1) of the following situations:
   1. Sexual dysfunction
   2. Cervical trauma
   3. Therapeutic donor insemination

B. Intrauterine insemination (IUI) in a natural (unstimulated) cycle is not indicated in the treatment of unexplained infertility, diminished ovarian reserve, ovulatory dysfunction, tubal factor infertility, endometriosis or male factor infertility.

C. Intrauterine insemination (IUI) in conjunction with controlled ovarian stimulation is indicated in any one (1) of the following situations:
   1. Unexplained infertility
   2. Mild and moderate male factor infertility
   3. Minimal or mild endometriosis
   4. Unilateral tubal factor infertility absent any compromise of the patent fallopian tube
   5. Diminished ovarian reserve

D. Intrauterine insemination (IUI) is not indicated in any one (1) of the following situations:
   1. >1 insemination per cycle (Osuna, 2004; Albrozi, 2003; Tonguc, 2010)
   2. Severe male factor infertility (< 1 million motile sperm after sperm preparation)
   3. Ovulatory dysfunction absent a concomitant male factor, sexual dysfunction or cervical trauma
   4. Bilateral tubal factor infertility
   5. Unilateral mid or distal tubal compromise (e.g., loculated spill, phimosis, occlusion)
   6. Moderate or severe endometriosis (ESHRE, 2013) unless treatment has previously been rendered and there is documentation of at least one uncompromised fallopian tube
   7. Recurrent pregnancy loss
   8. In the setting of unexplained infertility, diminished ovarian reserve, unilateral tubal factor infertility or mild to moderate male factor infertility or
minimal or mild endometriosis in the following situations:

- Beyond 4 cycles for females <38 years of age (Chaffkin, 1991; Dovey, 2008; ASRM, 2013; Merviel, 2010; Dickey, 2003); OR
- Beyond 2 cycles for females 38-39 years of age (ASRM, 2006, 2013; Hendricks, 2006; Harris, 2010; Wiser, 2012); OR
- Beyond 1 cycle for females ≥40 years of age (ASRM, 2006; Hendricks, 2006; Harris, 2010; Sahakyan, 1999; Aboulghar, 2001); OR
- In the setting of very poor/futile prognosis, defined as:
  - FSH level ≥15 mIU/ml if ≥40 years of age or FSH level ≥20 mIU/ml if <40 years of age (Fertility Solutions Expert Panel); OR
- When the diagnosis is limited exclusively to teratospermia unless 0% strict morphology has been demonstrated on at least two semen analyses.

9. In the setting of sexual dysfunction or cervical trauma when there are no other confounding infertility factors, in the following situations:

- Beyond 12 cycles in a female <35 years old; OR
- Beyond 6 cycles in a female ≥35 years old.

10. In the setting of ART in the following situations:

- To convert an ART cycle to IUI when at least 2 follicles ≥15 mm in diameter are present (particularly in the setting of diminished ovarian reserve or on the 2nd or greater ART cycle when maximal dosage of gonadotropins are being used); OR
- Following an ART cycle that fails to result in conception due to poor ovarian response or poor quality oocytes or embryos; OR
- Following ≥ 2 ART cycles that have failed to result in a conception despite good quality oocytes or embryos. (Reichman, 2013)

V. Assisted Reproductive Technologies (ART)

A. Assisted Reproductive Technologies (ART) are indicated for the following:

1. Unexplained infertility
2. Diminished ovarian reserve
3. Tubal factor infertility
4. Male factor infertility
5. Endometriosis
6. Ovulatory dysfunction
   - When ovulation induction has not resulted in conception
   - Poor response to ovulation induction
   - Hyper-response to ovulation induction where there is a risk for ovarian hyperstimulation or a multiple gestation
7. Failure to achieve conception with any other treatment modality

B. Assisted Reproductive Technologies (ART) are not indicated in the following situations:
1. When using autologous oocytes in females ≥44 years of age or when using donor oocytes in female recipients who are ≥55 years of age (ASRM (d))
2. When there is a failure to respond to ovarian stimulation (e.g., as demonstrated by failure to achieve at least 3 follicles >12 mm in diameter); OR
3. ART cycle does not demonstrate the attainment of at least one (1) embryo suitable for transfer (Note: an additional cycle may be considered when there is a significant change in treatment protocol after 1 such cycle including, but not limited to, a change in gonadotropin dosage that does not exceed pharma guidelines, a change in agonist/antagonist protocol or a change in the clinical presentation); OR
4. Lack of viable spermatozoa; OR
5. Ovarian failure where a couple is attempting conception with their own gametes; OR
6. Recurrent pregnancy loss except in the setting of recurrent aneuploidy or ≥5 unexplained losses; OR
7. Numerous ≥ 2 ART cycles without adequate egg quality or production, fertilization and/or embryo quality or development; OR
8. When using autologous oocytes in the setting of very poor/futile prognosis, defined as follows (Fertility Solutions Expert Panel):
   - FSH level ≥15 mIU/ml if ≥40 years of age
   - FSH level ≥20 mIU/ml if 35 to 39 years of age
   - FSH level ≥30 mIU/ml if <35 years of age
9. Gonadotropins are not indicated:
   - In total doses that exceed 450 IU/day for controlled ovulation stimulation (Nargund 2017; van Tilborg 2017; Youseff 2018); OR
   - For duration of therapy that exceeds 14 days per cycle.
C. Natural (unstimulated) Cycle Assisted Reproductive Technologies (ART) are indicated for all females under the age of 35 and all patients’ ≥ 35 years of age with normal ovarian reserve.
D. Natural cycle IVF is not indicated:
   1. In the setting of diminished ovarian reserve in females ≥ 35 years of age; OR
   2. There have been not more than 2 natural ART cycle attempts with a failure to obtain an embryo suitable for transfer; OR
   3. There has been a failure to attain a conception.
E. Freezing of ALL oocytes or embryos (when this is a covered benefit) is indicated in the following situations:
   1. Avoidance of ovarian hyperstimulation syndrome; OR
   2. For pre-implantation genetic diagnosis (PGD) or screening (PGS); OR
   3. For enhancing the uterine environment.
F. Fresh oocyte retrievals are not indicated when previously frozen oocytes (M2) or embryos of at least BB grading quality (or equivalent) are available for transfer.
G. Intracytoplasmic Sperm Injection (ICSI)
ICSI is indicated for the following:
1. Male factor infertility
   - “Male factor” infertility is seen as an alteration in sperm concentration and/or motility and/or morphology in at least one sample of two sperm analyses, collected 1 and 4 weeks apart. (WHO, 1999)
2. After failed conventional insemination (either complete failure or lower-than-expected rates (<50%). (Palermo et al, 1999; Benadiva et al, 1999; Katrop et al, 1999; Optum Infertility Expert Panel 2018)
3. Failed attempts at traditional IVF or conventional insemination when the quality of the ovarian stimulation was not the main cause of failure. (Van der Westerlaken et al, 2005)

ICSI is not indicated for the following:
1. Unexplained infertility (Foong et al, 2006)
2. Advanced maternal age (Kim et al, 2007)
3. Low oocyte yield (Kim et al, 2007)
5. Routine IVF (Bhattacharya et al, 2001)

VI. Tubal Surgery

A. Tubal surgery is indicated in the following situations (ASRM, 2015):
   1. To treat proximal tubal occlusion with selective salpingography or hysteroscopy with tubal cannulation in an individual not pursuing ART.
      - There is good evidence to support HSG as the standard first line test to assess tubal patency, but it is limited by false-positive diagnoses of proximal tubal blockage.
   2. To treat hydrosalpinges prior to an ART cycle by salpingectomy or proximal tubal occlusion.
   3. To treat distal tubal disease in an individual not pursuing ART.

B. Tubal surgery is not indicated in the following situations:
   1. When the intent of surgery is to enhance fertility in the absence of an infertility treatment benefit.
   2. To treat proximal tubal occlusion for the following:
      - Salpingitis isthmica nodosum in the presence of a compromised distal tube
      - Chronic salpingitis
- Obliterative fibrosis
- Women over the age of 35
- In the presence of a significant male factor
- In an individual pursuing ART

3. To treat severe hydrosalpinges by neosalpingostomy.

4. To perform a fimbrioplasty, salpingostomy or neosalpingostomy for severe tubal disease or concomitant proximal and distal tubal occlusion.

C. Additional infertility treatment (e.g., controlled ovarian stimulation, IUI or ART) is not indicated within 12 months of tubal surgery for women <35 years of age or within 6 months for women ≥35 years of age unless additional infertility factors have been identified or there is recurrence of tubal compromise as documented by a postoperative hysterosalpingogram, laparoscopy, etc.

VII. Surgery for Endometriosis

A. Surgery for Endometriosis is indicated in the following situations:
   1. When there are gynecologic indications for surgery such as:
      - Pelvic pain that is not responsive to conservative management; OR
      - Presence of a pelvic mass and/or pain for which gynecologic diagnosis otherwise warrants surgical intervention; OR
      - An alternative for women who do not wish to pursue ART.

B. Surgery for Endometriosis in asymptomatic women is not indicated in the following situations:
   1. When the intent of surgery is to enhance fertility in the absence of an infertility treatment benefit.; OR
   2. Where the only aim is to diagnose and subsequently treat peritoneal endometriosis in order to improve the result of ART treatment; OR
   3. To perform an aspiration or cystectomy of an endometrioma prior to ART unless there are other gynecologic indications for surgery; OR
   4. To resect deep nodular implants of endometriosis prior to ART in order to improve the result of ART treatment.

C. Additional infertility treatment (e.g., controlled ovarian stimulation, IUI or ART) is not indicated within 12 months of surgery for women <35 years of age or within 6 months for women ≥35 years of age unless additional infertility factors have been identified or there is documentation of tubal compromise by a postoperative hysterosalpingogram, laparoscopy, etc. or recurrence of disease.

VIII. Uterine Surgery

A. Uterine Surgery is indicated in the following situations:
1. To treat a uterine septum that extends >1 cm from the superior uterine wall; OR
2. To treat a unicorionate uterus based upon symptomatology associated with the presence of a functional rudimentary horn; OR
3. To treat uterine polyps; OR
4. To treat uterine adhesions; OR
5. To treat the following:
   - Submucosal myomas (FIGO classification 0 through 2) (Munro 2011)
   - Intramural myomas that protrude into or significantly distort the uterine cavity (FIGO classification 3) (Munro 2011)
   - Myomas that limit access to the ovary, occlude the Fallopian tube(s), or are located at the myometrial/endometrial junction
   - Large (≥ 4 cm) myomas following a failed ART cycle

B. Uterine Surgery is not indicated in the following situations:
1. When the intent of surgery is to enhance fertility in the absence of an infertility treatment benefit; OR
2. To treat a uterine septum that extends ≤ 1 cm from the superior uterine wall (an arcuate or sub-septate uterus); OR
3. To treat a bicornuate uterus; OR
4. To treat a uterus didelphys; OR
5. To treat subserosal or pedunculated fibroids prior to ART in order to improve the result of ART treatment.

C. Additional infertility treatment (e.g., controlled ovarian stimulation, IUI or ART) is not indicated within 12 months of surgery for women <35 years of age or within 6 months for women ≥35 years of age unless additional infertility factors have been identified or there is documentation of tubal compromise by a postoperative hysterosalpingogram, laparoscopy, etc. or recurrence of disease.

IX. Elective Single Embryo Transfer (eSET)

A. Elective single blastocyst embryo transfer (eSET) is indicated in the following situations (AHRQ, ASRM):
1. Patients with a favorable prognosis as defined as:
   - Expanded day 5 or 6 blastocysts with well-defined inner-cell mass and trophectoderm as defined by the individual embryology laboratory AND one of the following:
     - Embryo(s) or eggs available and suitable for cryopreservation; OR
     - Presence of one or more euploid embryos regardless
of the female’s age.

2. All patients undergoing ovum donation where the donor is <35 years of age.

3. For females <35-37 years of age eSET is further indicated by one of the following:
   - On the 1st full ART embryo transfer cycle; OR
   - On the 2nd full ART embryo transfer cycle if the prognosis is favorable for females <35 years of age; OR
   - On the 3rd full ART embryo transfer cycle if the prognosis is favorable for females < 35 years of age; OR
   - A euploid embryo is available for transfer.

4. For females 38-40 years of age eSET is further indicated:
   - On the 1st full ART embryo transfer cycle if the prognosis is favorable as defined above; OR
   - A euploid embryo is available for transfer.

<table>
<thead>
<tr>
<th>Age</th>
<th>&lt;35</th>
<th>35-37</th>
<th>38-40</th>
<th>41-42</th>
<th>Euploid Embryo</th>
</tr>
</thead>
<tbody>
<tr>
<td>1st cycle (fresh or frozen)</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>2nd cycle (fresh or frozen)</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>3rd cycle (fresh or frozen)</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>No favorable prognosis embryos</td>
<td>2</td>
<td>2</td>
<td>3</td>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

B. Multiple blastocyst embryo transfer is indicated in the following situations (AHRQ):

1. The transfer of 2 blastocyst embryos may be considered if no favorable prognosis embryos are available.

2. For females 35-37 years of age:
   - On the 3rd full ART embryo transfer cycle the transfer up to 2
embryos may be considered.

3. For females ≥38 years of age:
   - The transfer of up to 2 blastocyst embryos may be considered if there is only one favorable prognosis embryo.
   - The transfer of 3 blastocyst embryos may be considered if there are no favorable prognosis embryos are available.
   - Only 1 euploid blastocyst should be transferred.

C. **Multiple cleavage stage embryo transfer is indicated in the following situations (ASRM 2017):**
   1. For females <35 years of age with a favorable prognosis no more than 1 embryo should be transferred. All others should have no more than 2 embryos transferred.
   2. For females <35-37 years of age with a favorable prognosis no more than 1 embryo should be transferred.
      - Females with fewer than 2 high quality embryos should have no more than 3 embryos transferred.
   3. For females 38-40 years of age with a favorable prognosis no more than 3 embryos should be transferred.
      - All others should have no more than 4 embryos transferred.
   4. For females 41 - 42 years of age with a favorable prognosis no more than 4 embryos should be transferred. All others should have no more than 5 embryos transferred.

**X. Pre-Implantation Genetic Testing**

A. Pre-implantation genetic diagnosis (PGD) for the diagnosis of known genetic disorders only when the fetus is at risk for the genetic disorder. This would include, but is not limited to the following:
   1. Autosomal dominant disorders;
   2. Sex-linked (X or Y chromosome) disorders;
   3. Autosomal recessive diseases for which very specific mutations in heterozygosity can lead to a phenotype;
   4. Recessive disorders (e.g. Spinal Muscular Atrophy) where it is not atypical for an affected child to have inherited one of the deletions in a de novo fashion.

B. Check the benefit documents and state mandates for coverage of pre-implantation genetic diagnosis (PGD). PGD may be considered a covered expense if the fetus is at risk for a genetic disorder.
failure to conceive. A failure to have an ovulatory response to clomiphene or letrozole may warrant a trial of gonadotropins. If a woman has not conceived within 6 ovulatory cycles, a move to IVF would be the next treatment option. Gonadotropin treatment regimens should employ optimal stimulation regimens that ideally yield no more than 2 mature follicles. Females who do not conceive within 6 ovulatory cycles, are poor or hyper-responders to gonadotropin therapy should be directed to ART. (VanVoorhis, 1998)

Ovarian Reserve

- Ovarian reserve testing may consist of baseline FSH and estradiol levels, and measurement of anti-Müllerian hormone and antral follicle counts. (Nardo, 2009)
- FSH levels over 10mIU/ml may be considered as suspect for diminished ovarian reserve. (ACOG, 2008)
- Menopausal levels of FSH range from 25.8 – 134.8 mIU/ml (NLM)
  - High FSH = 16.7 mIU/ml
  - Moderately high FSH = 11.7 mIU/ml
  - Normal FSH = <10 mIU/ml (IRP 78/549) (ASRM, 2012a,b)
    - FSH levels in and of themselves may not be solely and entirely predictive of pregnancy outcome particularly in women < 35 years of age
    - FSH levels should be evaluated in conjunction with additional predictors of cycle success including anti-Müllerian hormone (AMH), antral follicle count (AFC) as well as follicular response to stimulation and in the case of assisted reproductive technology (ART), oocyte quantity and quality
- Delivery rates for women with diminished ovarian reserve in excess of defined threshold levels of FSH are reported to be approximately 1%. (Scott, 2004)
  - Older women (age >40 years) with an elevated FSH (on day 3 of the menstrual cycle) may not be candidates for undergoing ART, as they may have significantly lower implantation rates and clinical pregnancy rates, compared with a normal day 3 FSH in the same age category. (Luna et al, 2007)
- A lower antral follicle count is associated with infertility. (Rosen, 2011)
- Decreased ovarian reserve does not constitute an absolute contraindication to treatment. (ASRM, 2012a)

Letrozole

- There is no evidence that controlled ovarian stimulation with Letrozole is superior to clomiphene for patients with unexplained infertility undergoing IUI. A multi-center randomized clinical trial involving 900 couples with unexplained infertility demonstrated rates of conception, clinical pregnancy and live births were statistically significantly lower than those in the standard therapy group (the combined clomiphene and gonadotropin groups). The rate of multiple gestations was not significantly reduced among women treated with letrozole.
Letrozole was found to be non-inferior to clomiphene in terms of conception, clinical pregnancy and live birth rates. While clomiphene treatment resulted in a high incidence of hot flashes (30.9% vs. 16.8%) compared to letrozole, letrozole treatment demonstrated a higher rate of headaches (41.9% vs. 34.9%) and joint or limb pain (5.8% vs. 2.7%) compared to clomiphene. (Badawy, 2009; Diamond, 2015)

- Letrozole is contraindicated in women with premenopausal endocrine status, in pregnancy, and/or lactation due to potential for fetal malformations. According to the manufacturer (Novartis) the drug should only be used for its primary indication - breast cancer therapy for postmenopausal women. Secondary to concerns about teratogenicity, the FDA issued a strong label warning against the use of letrozole in reproductive age women seeking pregnancy. However, a study concluded that there was no overall difference in the rates of major and minor malformations between clomiphene and letrozole, but it appeared that congenital cardiac anomalies were less frequent in the letrozole group. (Tulandi, 2006)

- Two meta-analyses comparing letrozole with clomiphene as a first-line agent for ovarian stimulation demonstrated no difference in pregnancy and live birth rates (Donghong, 2011; Misso, 2012). As compared with clomiphene, letrozole was associated with higher live-birth (27.5% vs. 19.1%) and ovulation rates (88.5% vs. 76.6%) among infertile women with the polycystic ovary syndrome who were treated for up to 5 menstrual cycles (Legro, 2014).

- Letrozole compared to clomiphene demonstrated a lower incidence of hot flashes (20.3% vs. 33%) but a higher incidence of fatigue (21.7% vs. 14.9%) and dizziness (12.3% vs. 7.6%) and a lesser, but not significant, increase in endometrial thickness (2.4 ± 3.8 mm vs. 3.4 ± 3.7 mm) (Legro, 2014)

- A randomized trial of 900 women with unexplained infertility treated with letrozole demonstrated a lower clinical pregnancy rate (22.4% v. 28.3%), lower singleton gestation rate (16.1% v. 22%) and a higher multiple gestation rate (13.4% v. 9.4%) compared to women treated with clomiphene. Side effects were also different with letrozole resulting in a higher incidence of abdominal bloating (18.6% v. 16.8%), breast pain (7.2% v. 6.4%), headaches (41.9% v. 34.9%) and joint or limb pain (5.8% v. 2.7%) but a lower incidence of constipation (2.7% v. 9.4%) and hot flashes (16.8% v. 30.9%) compared to clomiphene. (Diamond, 2015)

Intrauterine Insemination

- Cervical factor infertility may be subject to a trial of IUI, but should move to treatment with ART if IUI is not successful within 4 cycles. (Guzick, 1999)

- For unexplained infertility, a retrospective cohort study of 1738 women undergoing 4199 treatment cycles using both clomiphene citrate and intrauterine insemination reported that pregnancy rates decrease with advancing maternal age and with subsequent treatment cycles. The authors concluded that it is reasonable to offer a limited number of cycles of clomiphene citrate and intrauterine insemination as first-line therapy in younger women with tubal patency without regard to ovulatory status (Dovey, 2008). Studies of women 40 years and older report age-related decline in fecundity and cumulative live birth rates with controlled ovarian stimulation and
intrauterine insemination. (Harris, 2010; Wiser, 2012)

- Natural cycle IUI: The use of IUI appears to improve cycle fecundity when combined with ovarian stimulation. In one trial comparing intercourse with insemination in a natural cycle, conceptions occurred in 6 of 145 (4.1%) IUI cycles and 3 of 123 (2.4%) intercourse cycles \( (P_{.46}) \) (Kirby, 1991). One would need to provide 100/2.71 or 37 cycles of IUI therapy to obtain a single additional pregnancy compared with control cycles. (ASRM, 2006)

- Unexplained infertility in females under the age of 35 may initially be addressed with a limited (≤3) number of clomiphene IUI cycles but should progress rapidly to ART. Females age 35 and older should be advised to move directly to IVF. (ASRM, 2006; Hendricks, 2006)

- When used in combination with IUI, CC seems to be beneficial compared with expectant management. One study randomized 67 females with unexplained infertility to CC/IUI or expectant management for up to 8 cycles. Fourteen patients achieved pregnancy with CC/IUI treatment over 148 cycles (9.5% pregnancy rate per cycle), compared with 5 patients managed expectantly (over 150 cycles; 3.3% pregnancy rate per cycle). In a more recent trial, 475 females were observed for up to 3 cycles of CC/IUI. There were 123 pregnancies over 1,294 cycles and 98 ongoing or live births (7.6% ongoing or live births per cycle). Up to three cycles is a common therapeutic regimen before progressing to more aggressive therapies. (ASRM, 2013)

- After 6 cycles of gonadotropin/IUI the cumulative pregnancy rate ranges from 0 to 48.5%. (Merviel, 2010; Aboulghar, 2001)

- The pregnancy rate per cycle appears to diminish after the 3\(^{rd}\) cycle. (Merviel, 2010)

- After 3 cycles of gonadotropin/IUI 39.2 to 87% of conceptions will have occurred. (Merviel, 2010; Aboulghar, 2001; Sahakyan, 1999; Dickey, 2003)

- After 4 cycles of gonadotropin/IUI 89 to 98% of conceptions will have occurred. (Merviel, 2010; Aboulghar, 2001; Sahakyan, 1999; Nuojua-Huttunen, 1999; Dickey, 2003)

- Women age 38-39 years old have a diminished prognosis following 2 gonadotropin/IUI cycles and women ≥ 40 years have a diminished prognosis after one cycle. (Sahakyan, 1999; Harris, 2010)

- Women ≥ 41 years old have a diminished prognosis with clomiphene citrate/IUI treatment. (Aboulghar, 2001)

- Clomiphene citrate may be as effective as gonadotropins when used in conjunction with IUI in cases of cervical factor, mild male factor and unexplained infertility.

- Pregnancy rates for Clomid/IUI (2%-19.3%) do not differ from those involving gonadotropin/IUI (7%-19.2%) or low dose (75 IU/day) gonadotropin/IUI (8.7%-16.3%) but the incidence of twin gestations is markedly reduced (12.5% vs. 28.6% and 29.3% respectively). (McClamrock, 2012)

- Controlled ovarian stimulation and IUI may increase the live birth rate 5.6 fold in women with minimal or mild endometriosis compared to expectant
ART is recommended for women with moderate or severe endometriosis. (ESHRE, 2013)

Cumulative pregnancy rates within 4 cycles are 51.44% and 25.4% for clomiphene and gonadotropins respectively (the difference in pregnancy rates is not statistically significant). (Ecocchard, 2000; Guzik, 1999; Reindollar 2010, 2011)

There is no evidence that, absent sexual dysfunction, cervical trauma or mild male factor infertility natural cycle (i.e., no ovarian stimulation) IUI has any benefit over appropriately timed heterosexual intercourse.

Natural cycle IUI may be considered in the setting of donor insemination when no other infertility factor is present.

There is no evidence from the published studies that intrauterine insemination is an effective treatment for cervical hostility. (Helmerhorst, 2009)

A single timed insemination per cycle is sufficient as there is no benefit to additional inseminations per cycle. (Osuna, 2004; Albrozi, 2003; Tonguc, 2010)

There is no evidence in published studies that reverting to treatment with IUI following failed ART cycles due to poor ovarian response, poor quality oocytes or embryos has been proven to be clinically effective.

IVF compared with IUI presents superior pregnancy rates in the setting of two or more follicles. (Reichman, 2013)

Treatment in the Natural Cycle

There is no evidence in the medical literature that timed coitus based upon serial ultrasound monitoring of follicular development improves pregnancy outcome. (ASRM, 2006, 2012a, 2012b; Lewis, 2004)

Natural cycle ART may have some benefit in individuals who prefer to avoid ovarian stimulation.

- Pregnancy rate per cycle ranges from 9.8 to 19.2%. (Schimberni, 2009; Gordon, 2013)
- Live birth rate per initiated cycle ranges from 0 (age group >42) to 15.2% (age group <35). (Gordon, 2013)
  - Across all age groups the cumulative live birth rate per cycle is reported as 2.6% with a live birth rate per patient ranging from 6.8 to 7.9% and the probability of a live birth reaching only 5.8% after 4 consecutive treatment cycles. (Polyzos, 2012)
  - Live birth rates per intended retrieval are 13.9% for females <35 years of age, 10.7% for females 35-37 years of age, 7.1% for females 38-40 years of age, 4.1% for females 41-42 years of age and 0.6% for females >42 years of age with corresponding implantation rates of...
32.7%, 34.7%, 23.8% 14.9% and 5.1% respectively.

- In the setting of diminished ovarian reserve, however, the live birth rates drop dramatically to 13.9%, 3.4%, 6.1% 2.5% and 0.5% respectively. (SART, 2016)

- Cycle cancellation rates range from 46 (age group <35) to 77% (age group >42) (Gordon, 2013) More recent data demonstrate cancellation rates ranging from 23.4% to 27%. (SART, 2015)

Embryo Banking and Use of Frozen Embryos

- There is no evidence in the medical literature to support the practice of repeated ART cycles for the purpose of accumulating (banking) embryos for later use (egg retrievals without a fresh or frozen embryo transfer) with the exception of freeze all cycles for medical necessity.

- It is clinically appropriate and cost effective to utilize all frozen embryos for transfer prior to another fresh ART cycle. (Forman, 2013; Richter, 2006; Shapiro, 2011, 2013)

Tubal Disease

- Studies treating patients with bilateral proximal tubal occlusion showed that the obstruction is relieved in about 85% of the tubes with tubal cannulation and that about half of the patients conceive. Approximately a third of the opened tubes subsequently re-occlude. (Honore 1999, Pinto 2003)

- A good prognosis for distal tubal surgery is associated with patients who have no more than limited filmy adnexal adhesions, mildly dilated tubes (<3 cm) with thin and pliable walls, and a lush endosalpinx with preservation of the mucosal folds. (AFS 1988)

- Intrauterine pregnancy rates after neosalpingostomy for mild hydrosalpinges range from 58% to 77% but decreases to 0% to 22% for severe disease. The corresponding ectopic pregnancy rates range from 2%-8% and 0%-17% respectively. (Nackley 1998)

- Hydrosalpinges have been demonstrated to lower pregnancy, implantation and delivery rates. (Camus 1999, Zeyneloglu 1998)

- Laparoscopic salpingectomy or tubal occlusion has been demonstrated to restore pregnancy and live birth rates to those of women without a hydrosalpinx. (Dechaud 1998, Kontoravdis 2006, Strandell 1999)

Endometriosis

- The cumulative spontaneous pregnancy rate within 3 years (life table analysis) after surgery has been reported to range from 46% to 77% for moderate endometriosis and 44% to 74% for severe endometriosis. (Adamson 1994, Nezhat 1989, Vercellini 2006)

- There is no evidence to support the use of adjunctive hormonal therapy to improve pregnancy rates prior to or following surgery for endometriosis. (Furness 2004)

- ART pregnancy rates for women with moderate or severe endometriosis are lower than those for patients with tubal factor infertility. (Barnhart 2002)
There is no medical evidence that laparoscopic aspiration or cystectomy of an endometrioma prior to ART shows any benefit over expectant management with regard to the clinical pregnancy rate. (Benschop 2010)

Although the presence of bilateral endometriomas at the time of ART affects responsiveness to hyperstimulation, the quality of the oocytes retrieved and the chances of pregnancy are not affected. (Benaglia 2013)

There is no evidence that resection of deep nodular implants of endometriosis prior to ART improves pregnancy outcome. (Bianchi 2009, Papaleo 2011)

**Uterine Factor**

- 79% of pregnancies in patients with a uterine septum may end in miscarriage. (Homer 2000)
- The role of metroplasty in the treatment of infertility is not clear. (Pabuccu 2004)
- ART appears to be less successful in women with a septate uterus. (Lavergne 1996)
- There is no evidence to support resection of a uterine septum that extends <1cm (sub-septate or arcuate uterus) from the superior uterine wall.
- In the largest series of women with a unicornuate uterus who were infertile or had recurrent pregnancy loss, the live birth rate in those with a communicating rudimentary horn was 15%, with a non-communicating rudimentary horn 28%, and with a rudimentary horn without a cavity 35%. (Akar 2005)
- Polypectomy may improve spontaneous pregnancy rates. (Perez-Medina 2005)
- Polyps <2 cm do not appear to affect ART outcome adversely. (Taylor 2008)
- One large study of intrauterine adhesions demonstrated a term pregnancy rate of 81.3% among women with mild disease, 66.0% among women with moderate disease, and 31.9% of those with severe disease following surgical treatment. (Schenker 1982)
- Sub-mucosal and intramural fibroids that protrude into the uterine cavity are associated with decreased pregnancy and implantation rates both of which improve following myomectomy. (Garcia 1984; Goldenberg 1995)
- Subserosal and intramural myomas that do not distort the uterine cavity do not appear to affect ART outcome adversely. (Dietterich 2000, Surrey 2001; Yarali 2002; Wang 2004; Klatsky 2007)
- A review suggests that fibroids with a submucous or an intracavitary component are associated with decreased fertility and increased spontaneous abortion rates. Myomectomy (either hysteroscopic, laparoscopic, or abdominal) is of value for submucosal fibroids. (Olive & Pritts 2010)

**Intracytoplasmic Sperm Injection (ICSI)**

ICSI is a safe and effective treatment of male factor infertility. While the diagnostic criteria used to identify male factor infertility fail to predict decreased or absent fertilization in assisted reproductive technology (ART) studies to date support the
safety and efficacy of ICSI to treat various male factor conditions. (ASRM 2012.) The rationale for using ICSI in other situations is to avoid a failure of fertilization. In the setting of unexplained infertility, a large meta-analysis demonstrated a fertilization rate per oocyte retrieved of 67.5% using ICSI vs. 47.8% allocated to conventional insemination (Johnson, 2012) Other studies while demonstrating a higher fertilization rate with ICSI compared to conventional fertilization (58% vs. 47%) have shown no difference in clinical pregnancy or live birth rates (Bhattacharya, 2001)

- In the setting of unexplained infertility, current evidence does not demonstrate any significant improvement in fertilization rate, embryo quality, implantation rate, clinical pregnancy rate or live-birth rate (Foong et al 2006).

- In the setting of low oocyte yield, two controlled studies comparing conventional insemination vs. ICSI demonstrated no difference in fertilization rates, fertilization failure, embryo quality, mean embryos per patient, clinical pregnancy rates and miscarriage rates (Kim et al 2007; Luna 2011).

- There is no data demonstrating the benefit of ICSI when used in women over 35 years of age (Kim et al 2007).

- There is evidence to support the use of ICSI when there has been a failure of fertilization with conventional insemination. While subsequent conventional insemination may result in fertilization rates ranging from 30%-97% the fertilization rate may be correlated with number of follicles, oocytes retrieved and mature oocytes (Roest et al 1998; Kinzer et al 2008). A prospective study however demonstrated a marked improvement in fertilization with ICSI (48%) compared to conventional insemination (115).

- There is no data regarding the use of ICSI when using cryopreserved oocytes. Nevertheless, changes in the zona pellucida associated with the freezing process may affect fertilization with conventional insemination, thus warranting the use of ICSI.

- In the setting of pre-implantation genetic testing (PGT) ICSI may be warranted to ensure mono-spermic fertilization (Tucker et al 200; Thornhill et al 2005; ICSI in 2006: evidence and evolution. Hum Reprod Update 2005)

- While an argument has been made that the use of ICSI should be used for all patients to minimize the risk for fertilization failure, a well powered, multi-center, randomized controlled trial demonstrated that the fertilization rate per oocyte retrieved was actually higher with conventional insemination compared to ICSI (Bhattacharya et al 2001).

**Efficacy of eSET**

- Single embryo transfer is most applicable for transfer of blastocyst-stage embryos as these appear to have higher implantation rates compared to cleavage-stage embryos. (Papanikolaou 2006, Blake 2007, Zech 2007)

- Compared with DET-conceived infants, eSET-conceived singletons are less likely to be born either preterm (RCT-based relative risk [RR] 0.37, 95% confidence interval [CI] 0.25–0.55) or with low birth weight (RCT-based RR
0.25, 95% CI 0.15–0.45; cohort study RR 0.51, 95% CI 0.29–0.91). (Grady 2012)

- Following implementation of a mandatory eSET program, eSET fresh transfers have resulted in clinical pregnancy rates of 67.7% (Csokmay 2011) and a live-birth rate of 64.6% (Kresowik 2011) with a significant reduction in multiple-birth rate to 3-4%.

- The transfer of a single euploid blastocyst embryo yields comparable pregnancy rates to untested double blastocyst transfer (Forman 2013) and yield pregnancy rates comparable to egg donation cycles. (Griffo)

- Some studies suggest a lower initial pregnancy rate for eSET compared to two embryo transfer (Pandian 2009; McLernon 2010, van Montfoort 2006), but cumulative pregnancy rates are similar (54.7% for eSET vs. 49% for a double transfer). (Criniti 2005, Henman 2005, le Lannou 2006)

- eSET in women under 37 resulted in increased cumulative live birth compared with multiple embryo transfer. In women aged between 37 and 40, CLBR in eSET group was similar with that in MET group. In both age groups, eSET reduced multiple birth rates. (Fujimoto, 2015)

- Double embryo or more was associated with a significantly increased risk for multiple pregnancy, placenta accreta, preterm premature rupture of membrane, cesarean section (CS), pre-term birth, low birth weight, small for gestational age, and early neonatal death compared with single embryo transfer. (Takeshima, 2016)

- Double frozen blastocyst transfer yielded a higher live birth per transfer, but 33% of births from double frozen blastocyst transfer were twins versus only 0.6% of single FBT. Double frozen blastocyst transfer was associated with statistically significant increases in preterm birth and low birth weight, the latter of which was statistically significant even when the analysis was limited to singletons. Of the blastocysts transferred via single frozen blastocyst transfer, 38% resulted in a liveborn child versus only 34% with double frozen blastocyst transfer. This suggests that two single FBTs would result in more liveborn children with significantly fewer preterm births when compared with double frozen blastocyst transfer. (Devine, 2015)

***Double Embryo Transfer***

- In a randomized controlled study the twin rate with blastocyst transfer following double embryo transfer (DET) was 47% vs. 0% for eSET. (Gardner 2004)

- Multiple gestation rates of 50% to > 60% have been reported following the transfer of two top quality blastocysts. (Gardner 2004, Crinit 2005, Balaban 2000, Gardner 2000)

- Pregnancy rates are similar for autologous eSET versus double blastocyst transfer (65%-76% vs. 63%-79%). (Salame 2011)

***Blastocyst Stage Embryos***

- Other studies demonstrate high implantation rates (65%) and live birth rates (54%) when supernumerary blastocysts are available for cryopreservation. (Hill 2013, Mullin 2012, Dare 2004,)
- Extended embryo culture allows transfer of embryos with the highest implantation potential. (Balaban 2000, Shapiro 2000)
- Blastocyst has been found to achieve higher implantation and live birth rates compared with cleavage stage embryos. (Gardner 2007, Blake 2007, Papanikolaou 2008).
- Favorable (>50%) pregnancy rates have been reported for single blastocyst transfer in women >35 years of age. (Davis 2008, Shapiro 2000)

### Definitions

<table>
<thead>
<tr>
<th>Term</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amenorrhea</td>
<td>the complete lack of menstrual bleeding</td>
</tr>
<tr>
<td>Anovulation</td>
<td>the lack of ovulatory menstrual cycles. Females with anovulation may still have periodic bleeding but these episodes are not associated with prior ovulation</td>
</tr>
<tr>
<td>Bicornuate uterus</td>
<td>a bifurcated uterus</td>
</tr>
<tr>
<td>Endometriosis</td>
<td>a condition where endometrial implants are located external to the uterine cavity. Often but not always associated with pain, pelvic adhesions, ovarian cysts</td>
</tr>
<tr>
<td>Fimbrioplasty</td>
<td>reconstructive surgery of the distal fimbriated end of the fallopian tube</td>
</tr>
<tr>
<td>Hydrosalpinx</td>
<td>distal occlusion of a fluid filled fallopian tube. Often causes denudation of the tubal cilia.</td>
</tr>
<tr>
<td>Medical Futility</td>
<td>“Futility” refers to treatment that has a ≤1% chance of achieving a live birth</td>
</tr>
<tr>
<td>Male Factor Infertility:</td>
<td></td>
</tr>
<tr>
<td>Mild Male Factor</td>
<td>abnormalities in the semen analysis where the sperm concentration is ≥10 million/ml but &lt;15 million/ml and/or progressive motility is ≥30% but &lt;40%</td>
</tr>
<tr>
<td>Moderate Male Factor</td>
<td>abnormalities in the semen analysis where the sperm concentration is ≥5 million/ml but &lt;10 million/ml and/or progressive motility is ≥25% but &lt;30%</td>
</tr>
<tr>
<td>Severe Male Factor</td>
<td>abnormalities in the semen analysis where the sperm concentration is &lt;5 million/ml or sperm preparation techniques result in a sperm concentration of &lt;1 million motile sperm/ml</td>
</tr>
<tr>
<td>Metroplasty</td>
<td>surgical reconstruction of the uterus</td>
</tr>
<tr>
<td>Neosalpingostomy</td>
<td>surgery to create a new opening in the distal end of the fallopian tube when there is complete fimbral obstruction or obliteration</td>
</tr>
<tr>
<td>Oligo-ovulation</td>
<td>Ovulatory menstrual cycles that are &gt;35 days apart</td>
</tr>
<tr>
<td>Poor Prognosis</td>
<td>“Very poor prognosis” refers to treatment for which the odds of achieving a live birth are very low but not nonexistent (&gt;1% to &lt;5% per cycle). (ASRM, 2006)</td>
</tr>
<tr>
<td>Recurrent Pregnancy Loss</td>
<td>Recurrent pregnancy loss is a disease distinct from infertility, defined by two or more failed pregnancies.</td>
</tr>
<tr>
<td>Salpingitis isthmica nodosum</td>
<td>chronic nodular inflammation of the proximal fallopian tube often resulting in tubal occlusion</td>
</tr>
<tr>
<td>Salpingectomy</td>
<td>partial or complete removal of a fallopian tube</td>
</tr>
<tr>
<td>Salpingostomy</td>
<td>surgery to create an opening in the fallopian tube</td>
</tr>
<tr>
<td>Septate uterus</td>
<td>a congenital anomaly with incomplete resorption of the medial uterine wall. Sometimes associated with recurrent pregnancy loss and possibly...</td>
</tr>
</tbody>
</table>
infertility.

**Tubal Factor Infertility:** Infertility that is caused by or associated with compromise of one or both fallopian tubes. This may be due to peritubal or fimbrial adhesions, blockage, or phimosis (narrowing)

**Unexplained Infertility:** Infertility for which no causative factor has been identified

**Unicornuate uterus:** A congenital anomaly with development of a hemi-uterus. Often associated with a rudimentary horn.

**Uterine Factor Infertility:** Infertility that is caused by or associated with compromise of the uterine (endometrial) cavity. This may be due to intrauterine lesions such as polyps, sub-mucosal leiomyomata, or synechiae (adhesions). Intramural, subserosal and external pedunculated leiomyoma have not been proven to be associated with infertility unless the endometrial cavity is distorted or they compromise a fallopian tube. Congenital anomalies such as a septate, bicornuate, unicornuate or didelphys uterus tend to be associated with recurrent pregnancy loss. A sub-septate (septum extending <1/4 the length of the uterine cavity) or arcuate (minimal indentation of the superior aspect of the uterus) are not associated with infertility or pregnancy loss.

**Uterus didelphys:** A congenital anomaly with a double uterus, sometimes with a double cervix and double vagina

---

**Bibliography**


American Society for Reproductive Medicine (ASRM) Practice Committee opinion: Multiple gestation associated with infertility therapy. Fertil Steril 2012;97(4):825-834


ASRM. The Practice Committee of the American Society for Reproductive Medicine: Effectiveness and treatment for unexplained infertility. Fertil Steril 2006; 86; S114.


Johnson LNC, Sasson IE, Sammel MD and Dokras A: Does intracytoplasmic sperm injection (ICSI) improve the fertilization rate and decrease the total fertilization failure rate in couples with well-defined unexplained infertility? Fertil Steril 2012;98: S286


Luna M, Grunfeld L, Mukherjee T, et al. Moderately elevated levels of basal follicle-stimulating hormone in young patients predict low ovarian response, but should not be used to disqualify patients from attempting in vitro fertilization. Fertil Steril 2007;87:782–787.


Tummon IS, Asher LJ, Martin JS et al. Randomized controlled trial of superovulation and insemination for infertility associated with minimal or mild endometriosis. Fertil Steril 1997; 68:8–12.


Revision History

The following are approved changes incorporated into the revision numbers indicated below.

<table>
<thead>
<tr>
<th>Revision</th>
<th>Date</th>
<th>Description of Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>12/01/2013</td>
<td>New medical necessity document (CE)</td>
</tr>
<tr>
<td>1.1</td>
<td>12/05/2013</td>
<td>Confidentiality statement added to footer (LW)</td>
</tr>
<tr>
<td>1.2</td>
<td>01/30/2014</td>
<td>Minor edits made to verbiage per EP recommendations. (CE)</td>
</tr>
<tr>
<td>2.0</td>
<td>02/26/2014</td>
<td>Infertility Surgery and eSET incorporated into this document. (CE)</td>
</tr>
<tr>
<td>2.1</td>
<td>06/26/2014</td>
<td>Minor edits made to verbiage and clarification of age groups for applicable</td>
</tr>
</tbody>
</table>

This document is proprietary and confidential to Optum® 2018. Effective 10/01/2018. Unauthorized use or copying without written consent is strictly prohibited. Printed copies are for reference only.
<table>
<thead>
<tr>
<th></th>
<th>ART cycles per AD. (CE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.1</td>
<td>07/14/2014</td>
</tr>
<tr>
<td>3.0</td>
<td>07/14/2014</td>
</tr>
<tr>
<td>3.1</td>
<td>10/13/2014</td>
</tr>
<tr>
<td>4.0</td>
<td>07/09/2015</td>
</tr>
<tr>
<td>4.1</td>
<td>10/02/2015</td>
</tr>
<tr>
<td>5.0</td>
<td>05/05/2016</td>
</tr>
<tr>
<td>5.1</td>
<td>06/22/2016</td>
</tr>
<tr>
<td>5.2</td>
<td>09/12/2016</td>
</tr>
<tr>
<td>6.0</td>
<td>05/04/2017</td>
</tr>
<tr>
<td>7.0</td>
<td>05/03/2018</td>
</tr>
</tbody>
</table>